

FORM PTO-1390 (Modified) (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 2003JP317	
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR To Be Assigned <b>10/565429</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/011135</b>		INTERNATIONAL FILING DATE <b>August 8, 2004</b>		PRIORITY DATE CLAIMED <b>August 12, 2003</b>	
TITLE OF INVENTION <p style="text-align: center;"><b>COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL PRODUCED BY USING SAME</b></p>					
APPLICANT(S) FOR DO/EO/US <p style="text-align: center;"><b>Tomoko AOKI, Hiroyuki AOKI</b></p>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p>Items 13 to 18 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A substitute specification.</li> <li>17. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>18. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail <b>EV 689559996 US</b></li> <li>19. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
<div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <p>Form PCT/IB/301            Form PCT/IB/304            Form PCT/IB/306            Form PCT/IB/306            Form PCT/IB/306            Form PCT/IB/308            WO 2005/014743 A1</p> </div>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>To Be Assigned</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/011135</b>	ATTORNEY'S DOCKET NUMBER <b>2003JP317</b>
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20. The following fees are submitted:
- BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**
- ☐ Search Report has been prepared by the EPO or JPO ..... **\$840.00**
  - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... **\$670.00**
  - ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... **\$760.00**
  - ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$970.00**
  - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... **\$96.00**

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$1,000.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	7 - 20 =	0	x \$18.00			<b>\$0.00</b>
Independent claims	1 - 3 =	0	x \$78.00			<b>\$0.00</b>
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>						<b>\$0.00</b>

**TOTAL OF ABOVE CALCULATIONS =**

**\$1,000.00**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) ☐

**\$0.00**

**SUBTOTAL =**

**\$1,000.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00**

**TOTAL NATIONAL FEE =**

**\$1,000.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) ☐

**\$0.00**

**TOTAL FEES ENCLOSED =**

**\$1,000.00**

Amount to be: refunded	\$
charged	\$

- ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- ☒ Please charge my Deposit Account No. **50-3309** in the amount of **\$1,000.00** to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-3309** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**ALAN P. KASS**  
**AZ ELECTRONIC MATERIALS USA CORP.**  
**70 MEISTER AVENUE**  
**SOMERVILLE, NJ 08876**

Customer No. 26,289

SIGNATURE

**ALAN P. KASS**


NAME

**32142**

REGISTRATION NUMBER

**January 18, 2006**

DATE

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Tomoko AOKI, Hiroyuki AOKI			Docket No. 2003JP317	
Serial No. <b>10/565429</b> <small>To Be Assigned</small>	Filing Date January 18, 2006	Examiner To Be Assigned		Group Art Unit To Be Assigned
Invention: <b>COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL PRODUCED BY USING SAME</b>				
<p>I hereby certify that this <u>Transmittal Letters (1 original and 1 copy) - 4 Pages</u>  <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.</p> <p>20231-0001 on <u>January 18, 2006</u>  <small>(Date)</small></p> <div style="text-align: right; margin-top: 20px;"> <u>MARIA T. SANCHEZ</u>  <small>(Typed or Printed Name of Person Mailing Correspondence)</small>    <small>(Signature of Person Mailing Correspondence)</small>  <u>EV 689559996 US</u>  <small>("Express Mail" Mailing Label Number)</small> </div>				
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18 JAN 2006

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Tomoko AOKI, Hiroyuki AOKI

Docket No.

2003JP317

10/565429  
Serial No.  
To Be Assigned

Filing Date  
January 18, 2006

Examiner  
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Group Art Unit  
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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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20231-0001 on January 18, 2006  
(Date)

MARIA T. SANCHEZ  
(Typed or Printed Name of Person Mailing Correspondence)

Maria T. Sanchez  
(Signature of Person Mailing Correspondence)

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Docket No.

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Group Art Unit

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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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MARIA T. SANCHEZ


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Serial No. To Be Assigned <b>55429</b>	Filing Date January 18, 2006	Examiner To Be Assigned	Group Art Unit To Be Assigned	
Invention: <b>COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL PRODUCED BY USING SAME</b>				
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Docket No.

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Serial No.

Filing Date

Examiner

Group Art Unit

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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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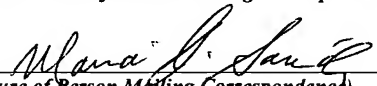
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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL PRODUCED BY USING SAME				
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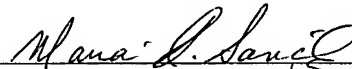
 Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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Invention: COATING COMPOSITION AND LOW DIELECTRIC SILICEOUS MATERIAL  
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